

Docket No.
14399-1US**Declaration For Patent Application****English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

VAULT AND VAULT-LIKE CARRIER MOLECULES

the specification of which

(check one)

☒ is attached hereto.

☒ was filed on _____ as United States Application No. or PCT International Application Number _____

and was amended on the Preliminary Amendment filed herewith

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior applications and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

10 March 2003

(Filing Date)

(Filing Date)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

10 March 2004

Pending

(Filing Date)

(Status)

(patented, pending, abandoned)

(Filing Date)

(Status)

(patented, pending, abandoned)

(Filing Date)

(Status)

(patented, pending, abandoned)

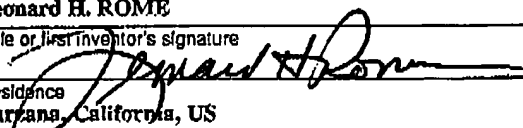
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

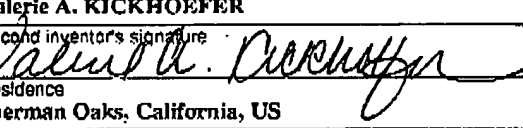
Full name of sole or first inventor Leonard H. ROME	
Sole or first inventor's signature	Date
Residence Tarzana, California, US	
Citizenship United States of America	
Post Office Address 18141 Rancho Street	
Tarzana, CA 91356, US	

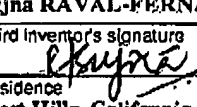
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Citizenship United States of America	
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Citizenship India	
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Fourth inventor's signature <i>Phoebe L. Stewart</i>	Date <i>8/25/05</i>
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Sole or first inventor's signature 	Date 8/25/2005
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SHELDON & MAK ATTORNEY DOCKET NO. 14399-1US

DT09 Rec'd PCT/PTO #31 AUG 2005

10/547530**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****POWER OF ATTORNEY BY ASSIGNEE****AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71**

Sheldon & Mak Docket No. 14399-1US

UC Case No.: 2003-314-2US

Name of Applicant: ROME, Leonard H. et al.
Serial No., if Any: To be assigned
Filed: Herewith
Title of Invention: VAULT AND VAULT-LIKE CARRIER MOLECULES

TO THE COMMISSIONER FOR PATENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

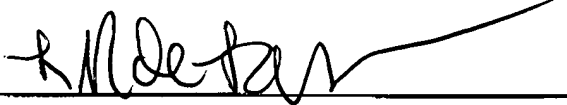
Honorable Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints all practitioners associated with the Customer No. 23676 all of the firm of SHELDON & MAK, as its principal attorneys to prosecute this application and to transact all business in the Patent Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of Rule 3.71 of the Patent Office Rules of Practice. A Statement under 37 C.F.R. 3.73(b) is attached.

Please recognize the correspondence address for the above-identified application as the address associated with Customer No. 23676 and direct all communications to: David A. Farah, M.D., (626) 796-4000.

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
1111 Franklin Street, 12th Floor
Oakland, California 94607-5200

Signature:

Dated: August 29, 2005

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ATTORNEY DOCKET NO. 14399-1US

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

10/547530

DT09 Rec'd PCT/PTO 31 AUG 2005

Applicant/Patent Owner: THE REGENTS OF THE UNIVERSITY OF CALIFORNIAApplication No./Patent No.: To be assignedFiled/Issue Date: August 31, 2005Entitled: VAULT AND VAULT-LIKE CARRIER MOLECULESThe Regents of the University of California, a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013869, Frame 0992, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

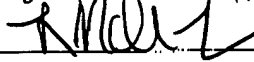
1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document (s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
Lorelei de Larena

Printed or Typed Name

Intellectual Property Manager

Title

8/29/05

Date
(310) 794-0558

Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.